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DATE: April 2, 2004

TO: Examiner
Group Art Unit:

FAX #: 703-872-9306

PHONE #: 703-308-0477

Application No.: 10/772,102
Applicant: Kumar
Due Date: May 4, 2004

OUR REF.: 2950.21US02

FROM: Peter S. Dardi, Ph.D.
PHONE #: (612) 349-5746

Attached please find the following document for filing in the above-identified patent application:

- 1) Information Disclosure Statement (2 pages)
- 2) Form 1449/PTO (7 pages)

Sincerely,

Peter S. Dardi

Peter S. Dardi, Ph.D.
Reg. No. 39,650

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office, Fax No. 703-872-9306 on the date shown below thereby constituting filing of same.

April 2, 2004
Date

Peter S. Dardi
Peter S. Dardi

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Attorney Docket No.: 2950.21US02

Kumar

Confirmation No.: Not Assigned

Application No.: 10/772,102

Examiner: Not Assigned

Filed: February 4, 2004

Group Art Unit: Not Assigned

For: HIGH LUMINESCENCE PHOSPHOR PARTICLES AND METHODS FOR
PRODUCING THE PARTICLES

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed within three months of the U.S. filing date. No certification or fee is required.

Copies of the references were cited by or submitted to the Office in Patent Application No. 09/843,195, filed April 26, 2001, now U.S. Patent No. 6,692,660, which is

Application No. 10/772,102

relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these references are not attached. 37 C.F.R. § 1.98(d).

Respectfully submitted,



Peter S. Dardi, Ph.D.
Registration No. 39,650


Customer No. 24113
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Peter S. Dardi

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				<i>Complete if Known</i> Application Number 10/772,102 Filing Date February 4, 2004 First Named Inventor KUMAR Art Unit Not Assigned Examiner Name Not Assigned Attorney Docket Number 2950.21US02	
Sheet	1	of	7		
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		Number-Kind Code ² (if known)			
		2,603,554	07/1952	Calbeck	
		2,610,154	09/1952	Brown, Jr.	
		2,854,413	09/1958	Geary	
		2,863,084	12/1958	Arnott et al.	
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		2,968,627	02/1958	Wachtel	
		3,040,202	06/1962	Lehmann	
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FOREIGN PATENT DOCUMENTS					
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)			
		WO 98/37165	08/1998	PCT	
		WO 99/23191	05/1999	PCT	
		WO 99/46204	09/1999	PCT	
		WO 99/50880	10/1999	PCT	
		WO 00/46867	08/2000	PCT	
		WO 00/54291	09/2000	PCT	
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<small> *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450. </small>					

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		Number-Kind Code ² (if known)				
		H429	02/1988	Harris et al.		
		4,738,798	04/1988	Mahler		
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FOREIGN PATENT DOCUMENTS						
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/772,102
				Filing Date	February 4, 2004
				First Named Inventor	KUMAR
				Art Unit	Not Assigned
				Examiner Name	Not Assigned
Sheet	3	of	7	Attorney Docket Number	2950.21US02
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EXAMINER INITIAL ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		Number-Kind Code ² (if known)			
		5,498,369	03/1996	Bredol et al.	
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FOREIGN PATENT DOCUMENTS					
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				Filing Date	February 4, 2004
				First Named Inventor	KUMAR
				Art Unit	Not Assigned
				Examiner Name	Not Assigned
Sheet	5	of	7	Attorney Docket Number	2950.21US02

NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Goldstein et al., Observation of Melting in 30A Diameter CdS NanoCrystals, Met. Res. Soc. Symp. Proc. Vol. 206, pp. 271-274, 1991	
		Curtin, The Field Emission Display: A New Flat Pane Technology, Conf. Rec. of the 1991 Int'l Display Res. Conf., pp. 12-15, October 1991	
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		Siegel, Creating Nanophase Materials, Scientific American, 2 pages, December 1996	
		J.S. Yoo et al., The Effects of Particle Size and Surface Recombination Rate on the Brightness of Low-Voltage Phosphor, J. App. Phys. 81(6), 2810-2813, March 15, 1997	
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		Konrad et al., Chemical Vapor Synthesis and Luminescence Properties of Nanocrystalline Cubic Y ₂ O ₃ :Eu, J. Appl. Phys., Vol. 86, No. 6, pp. 3129-3133, Sept. 15, 1999	
		J.S. Yoo et al., Spherical BaMgAl ₁₀ O ₁₇ :Eu ²⁺ Phosphor Prepared by Aerosol Pyrolysis Technique for PDP Applications, Journal of the Electrochemical Society, 148(9) H128-H131, 2001	
		Ramponi et al., "New Er-doped phosphate glass for ion-exchange active waveguides: accurate determination of the refraction index," Optical Materials, 14, pp. 292-296.	

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NON PATENT LITERATURE DOCUMENTS					
EXAMINER INITIAL ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published			T ²
		Lee et al., "Controlled formation of nanoparticles utilizing laser irradiation in a flame and their characteristics," Applied Physics Letters, Vol. 79, No. 15, pp. 2459-2461, October 8, 2001.			
		Vermelho et al., "The influence of sodium ions on rare-earth solubility in silicon-silicon SiO ₂ -P ₂ O ₅ glass hosts fabricated by flame hydrolysis deposition," Proceedings of SPIE, Vol. 4277, pp. 381-387, 2001.			
		Ballato et al., "Fabrication of fibers with high rare-earth concentrations for Faraday isolator applications," Applied Optics, Vol. 34, No. 30, pp. 6848-6854, October 20, 1995.			
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		Chemical Abstract citation 111:143246: "Europium-doped strontium aluminum oxide (2SrO 3Al ₂ O ₃) and barium calcium aluminum oxide (1.29(Ba,Ca)O,6Al ₂ O ₃). Two new blue emitting phosphors," Smets et al., Journ. Electrochem. Soc., (1989), 136(7), 2119-23.			
		Bender et al., "Synthesis and Fluorescence of Neodymium-Doped Barium Fluoride Nanoparticles," Chem. Mater., Vol. 12, No. 7, pp. 1969-1976, 2000.			
		Chemical Abstract citation 135:144231: Mechanism of thermal degradation of blue BaMgAl ₁₀ Si ₂ Eu (BAM) phosphor, Zhou et al., Faguang Xuebao (2000), 21, (4), 345-48.			
		Jean et al., "Spherical BaMgAl ₁₀ Si ₂ Eu ²⁺ Phosphor Prepared by Aerosol Pyrolysis Technique for PDP Application," Jour. Electrochem. Soc., 149 (9), 2001, pp. H128-H131.			
		Chemical Abstract citation 132:327319: Blue emitting CaAl ₂ O ₄ :Eu ²⁺ phosphors for PDP application, Tanaka et al. Journ. Luminesc., (2000) 87-89, 1250-1253.			
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		Chemical Abstract citation "Photoluminescence imaging of phosphor particles using near-field optical microscope with UV light excitation," Nishikawa et al. Jour. Microscopy, (1999), 194 (2/3), 415-420.			
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<p>¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ²Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.</p> <p style="text-align: center;"><i>If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.</i></p>					